



Australian Government

IP Australia

AUSTRALIAN OFFICIAL JOURNAL

OF

PATENTS

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General Information

For information on the following please see our website www.ipaustralia.gov.au or contact our Customer Service Network on 1300651010

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INID (Internationally agreed Numbers for the Identification of Data)

GUIDE TO THE USE OF THIS JOURNAL

The Australian Official Journal of Patents (AOJP) reports all major events and actions which take place during the life cycle of an Australian patent and provides certain details of these actions as they relate to the patent or patent application involved. This guide sets out to teach the reader how to use the journal to access this information.

While there are many possible actions in the life of a patent, the majority of actions reported relate to the following events, which are the main stages in the progression of a patent application to a sealed patent:

(i) FILING -

This is the act of making an application. When the application is first filed certain details are published.

(ii) OPEN-TO-PUBLIC-INSPECTION (OPI) -

Approximately 18 months after first filing of an Australian or a corresponding foreign application, certain application documents, including the complete specification, become available to the public (Open-to-Public-Inspection or "OPI"). Relevant application details are published.

(iii) NATIONAL PHASE ENTRY (NPE) -

For an application filed under the PCT to have full effect, it must move from the international phase of processing into the National phase of processing, by complying with the requirements of s.89(3). For PCT applications that were filed after 1 January 2004 which have entered the National Phase certain details are published.

(iv) ACCEPTANCE -

This is the Commissioner's acceptance of a patent application. Once the Commissioner has accepted a patent application, certain details of the application are published in the AOJP. Notice of opposition may be filed within three months of advertisement of acceptance.

(v) OPPOSITION -

If an opposition action is commenced against the grant of the patent, the six-figure acceptance number and the name of the opponent are published. If the opposition is to the Certification of an Innovation Patent, the patent number and the name of the opponent are published.

(vi) SEALING -

Most accepted applications are not opposed. These proceed to sealing and become granted patents. Of the few that are opposed (less than 1%) most of these, after resolution of the opposition, proceed to sealing and become granted patents. Sealed patents are simply listed in order of their application number.

(vii) CERTIFICATION-

This is the Commissioner's Certification after passing examination of a previously granted unexamined Innovation Patent.

In addition to the actions related to these stages, other actions reported include: assignments, lapsing or withdrawal of applications and ceasing or expiry of patents, voluntary amendments, extensions of time for certain actions and registration of licences.

How To Identify Information Using "INID" Numbers

Patents are published in many different countries and in many different languages. As a result, finding the information that you want (eg the filing date) on a patent document or in a journal can be quite difficult. There is an international system operating, however, which codifies this information in an unambiguous way, by assigning a specific number to each piece of information about the history of a patent. These numbers are called the **Internationally Agreed Numbers for the Identification of Data** or INID numbers.

These numbers appear on all published patents and abstracts and are used throughout this journal to identify particular items of information. For example, the date on which a document is filed has the INID number (22), while the name of the applicant has the INID number of (71). These numbers are always expressed in parentheses and always immediately precede the information to which they relate. For example:

(22) 12.10.91

means that the filing date of the document which contains this reference is 12 October 1991. Learning the INID numbers for the information you want will help you find it quickly and easily.

A complete list of the INID numbers and the items to which they relate is provided at the end of this Guide.

How Australian Patent Documents are Numbered

Patent applications in Australia are assigned a number at the filing stage in their processing. Each Australian application will retain the same number throughout its life, though different numbers may be associated to the application. The number will incorporate the year of lodgment then a unique number within the appropriate range.

There will be number ranges for types of patents:

100,000 – 199,999	Innovation
200,000 – 799,999	Standard
800,000 – 899,999	Petty
900,000 – 999,999	Provisional

When searching for information and ordering documents it is vital that you understand the numbering systems.

1. **Provisional Applications** are given a ten-figure number

e.g. 2002901123

A provisional application number is identified by the INID number (21).

2. **Complete and Innovation Applications** are also given a ten-figure application number

e.g. 2002200345 Standard
2002100123 Innovation

There are prefixes applied to this number which indicate whether the application has been accepted:

A document corresponding to an unaccepted application has the prefix, AU-A; eg AU-A-2002200234.
A document corresponding to an accepted application carries the prefix AU-B; eg AU-B-2002200234.

Users need to be aware that an accepted document may differ from the corresponding unaccepted document. This is because amendment may occur between first publication (OPI) and second publication (acceptance).

A ten-figure application number is identified by the INID number (21).

NOTE: When ordering any patent document from us, whether accepted or not, please quote the ten-figure application number preceded by the appropriate prefix.

Arrangement of Information in the Journal

For each of the categories

- (i) Provisional Applications Filed,
- (ii) Complete Applications Filed,
- (iii) Applications Open to Public Inspection
- (iv) Applications Entered National Phase
- (v) Applications Accepted, and
- (vi) Innovation Patent Certified.

The Journal lists the information published in that category in an alphabetical Name Index list based on the name of the applicant. These indices are useful if you wish to find information about applications made by a particular applicant.

In addition to the Name Index there is provided, for each of these categories, a Numerical Index. This index lists the applications either in order of their five-figure Application Numbers, in the case of complete applications filed and applications OPI, or in order of their six-figure Document Number in the case of accepted applications. It provides, for each number, the name of the applicant. These indices are useful if you wish to track the progress of a particular patent application.

There are also IPC Indices provided for applications which are OPI, for applications which have entered national phase and for applications which have been accepted. IPC stands for International Patent Classification. Each IPC "mark" is an alpha-numerical representation of a particular area of technology. These indices are in order of IPC mark, and within each mark provide either the five-figure application numbers of the application which are now OPI or the six-figure numbers of the cases now accepted. These indices are useful if you wish to check on patent activity in a particular technology.

Using the Indices

1. To Find Patent Information if You Know the Name of the Applicant.

Use the Name Indices. They will give you the following information identified by their INID number:

<u>ITEM</u>	<u>INID</u> <u>No.</u>	<u>ITEM</u>	<u>INID</u> <u>No.</u>
A) Provisional applications filed - Name Index		B) Complete applications filed - Name Index	
The <u>name</u> of the applicant	(71)	The <u>name</u> of the applicant	(71)
The Provisional application <u>number</u>	(21)	The <u>number</u> assigned to the application	(21)
The <u>date</u> of filing	(22)	The <u>date</u> of filing	(22)
The <u>title</u> of the invention	(54)	<u>Title</u> of the invention	(54)
		<u>Number</u> of priority document(s) if any	(31)
		<u>Date(s)</u> of filing of priority documents	(32)
		<u>Country</u> of which priority documents filed	(33)
		PCT application <u>number</u>	(86)
<u>ITEM</u>	<u>INID</u> <u>No.</u>	<u>ITEM</u>	<u>INID</u> <u>No.</u>
C) Applications open to public inspection - Name Index		D) Applications entered National Phase - Name Index	
The <u>name</u> of the applicant	(71)	The <u>name</u> of the applicant	(71)
The <u>number</u> of the document	(11)	The <u>number</u> of the document	(11)
The <u>number</u> assigned to the application	(21)	The <u>number</u> assigned to the application	(21)

The <u>date</u> of filing	(22)	The <u>date</u> of filing	(22)
The <u>title</u>	(54)	The <u>title</u>	(54)
The <u>classification marks</u>	(51)	The <u>classification marks</u>	(51)
Priority document <u>number(s)</u>	(31)	PCT publication <u>number</u>	(87)
<u>Date</u> of filing of priority document(s)	(32)	Priority document <u>number</u>	(31)
<u>Country</u> in which priority document filed	(33)	<u>Date</u> of filing of priority document(s)	(32)
Publication <u>date</u> of unexamined document	(43)	<u>Country</u> in which priority document filed	(33)
Inventors <u>names</u> if known	(72)	Publication <u>date</u> of unexamined document	(43)
<u>Patent Attorneys</u>	(74)	Inventors <u>names</u> if known	(72)
Related by addition	(61)	<u>Patent Attorneys</u>	(74)
Related by division	(62)		

<u>ITEM</u>	<u>INID</u> <u>No.</u>	<u>ITEM</u>	<u>INID</u> <u>No.</u>
E) Applications accepted - Name Index		F) Patents Certified – Name Index	
The <u>name</u> of the applicant	(71)	The <u>name</u> of the applicant	(71)
The <u>number</u> of the document	(11)	The <u>number</u> of the accepted document	(10)
The <u>number</u> of the accepted document	(10)	The <u>number</u> assigned to the application	(21)
The <u>number</u> assigned to the application	(21)	The <u>date</u> of filing	(22)
The <u>date</u> of filing	(22)	The <u>title</u>	(54)
The <u>title</u>	(54)	The <u>classification marks</u>	(51)
The <u>classification marks</u>	(51)	Priority document <u>number</u>	(31)
PCT publication <u>number</u>	(87)	<u>Date</u> of filing of priority document(s)	(32)
Priority document <u>number</u>	(31)	<u>Country</u> in which priority document filed	(33)
<u>Date</u> of filing of priority document(s)	(32)	Publication <u>date</u> of granted patent	(45)
<u>Country</u> in which priority document filed	(33)	Inventors <u>names</u>	(72)
Publication <u>date</u> of unexamined document	(43)	<u>Patent Attorneys</u>	(74)
Publication <u>date</u> of examined document	(44)	Related by division	(62)
Publication <u>date</u> of granted patent	(45)		
Inventors <u>names</u>	(72)		
<u>Patent Attorneys</u>	(74)		
Related by addition	(61)		
Related by division	(62)		

You will notice at each stage of following application through that all applications are in alphabetical order of **Applicant**, not inventor.

2. To Find Information About a Patent Application if You Know its Number.

Use the appropriate numerical index. This will give you the name of the applicant from the number. You will then need to use the appropriate Name Index as above to find out other information about the Patent Application you are interested in.

The following Numerical Indices are available:

- A) **Provisional** Applications filed.
- B) **Complete** Applications filed.
- C) **Innovation** Applications filed.
- D) Applications **Open to Public Inspection**.
- E) Applications **Entered National Phase**
- F) Applications **Accepted**.
- G) Innovation Patent **Certified**

3. To Find Information About Patent Documents in the Area of Technology in which You are Interested if You Know the International Patent Classification Mark for that Area.

All patent applications are classified according to their subject matter using the International Patent Classification (IPC). Although the system is very detailed and covers all technologies, knowledge of the IPC marks of the technologies you are interested in will allow you to find patent documents in these technologies quite easily. To identify the IPC marks of technologies you are interested in, you can inspect relevant documentation in any of IP Australia's state offices.

The indices to use are

- A) Applications **OPI** - IPC Index
- B) Applications **accepted** - IPC Index
- C) Applications **Entered National Phase** – IPC Index

These indices give you the numbers of the applications which are either OPI, Entered National Phase or Accepted and are listed in order of their IPC marks.

Once you have the numbers of the documents that interest you, consult the relevant Number Index (see 2. above) to find the applicant's name, and then the Name Index (see 1. above) to find out the details of that application.

'INID' NUMBERS in use on Australian Patent Documents

'INID' is an acronym for 'Internationally agreed **N**umbers for the **I**dentification of **D**ata'.

(10) Document identification

- (11) Number of the document
- (12) Plain language designation of the kind of document
- (19) WIPO country code, or other identification, of the country publishing the document.

(20) Document filing data

- (21) Number(s) assigned to the application(s).
- (22) Date(s) of filing application(s)
- (23) Other date(s) of filing, including exhibition filing date and date of filing complete specification following provisional specification.
- (24) Date from which industrial property rights may have effect.

(30) Priority data

- (31) Number(s) assigned to priority application(s)
- (32) Date(s) of filing priority application(s)
- (33) Country (countries) in which the priority application(s) was (were) filed.

(40) Date(s) of making available to the public

- (43) Date of publication by printing or similar process of an unexamined document, on which no grant has taken place on or before the said date.
- (44) Date of publication by printing or similar process of an examined document, on which no grant has taken place on or before the said date.
- (45) Date of publication by printing or similar process of a document, on which grant or certification has taken place on or before the said date.

(50) Technical Information

- (51) International Patent Classification
- (52) Domestic or national classification
- (54) Title of invention
- (56) List of prior art documents, if separate from descriptive text
- (57) Abstract or claim

(60) Reference(s) to other legally related domestic document(s)

- (60) Related by cognate(s).
- (61) Related by addition(s).
- (62) Related by division(s).

(70) Identification of parties concerned with the document

- (71) Name(s) of applicant(s)
- (72) Name(s) of inventor(s) if known to be such
- (74) Name(s) of attorney(s) or agent(s)
- (75) Name(s) of inventor(s) who is (are) also applicant(s)

(80) Identification of data related to International Conventions other than the Paris Convention

- (86) PCT Application Number
- (87) PCT Publication Number

NOTE

- (1) Australian patent documents published on or after 26 October 1978 should be referred to by the application number preceded by the prefix AU-A or AU-B.

 AU-A = Pre-examination **AU-B** = Post-examination
- (2) The classification used is the International Patent Classification and is identified by the INID code (51). Further editions of the classification are identified as (51)², (51)³, (51)⁴ and (51)⁵.
- (3) INID code 74 provides for the name of the patent attorney, or firm of attorneys, prosecuting an application.

Official Notice**Close-down periods for the Patent, Trade Marks, Designs Offices
and the sub-offices**

The close-down provisions in the Patents, Trade Marks and Designs legislation provide for the effect of the Patent Office, the Trade Marks Office and the Designs Office in Canberra or any of their sub-offices in the State capitals not being open for business.

On 23 October 2009, IP Australia's Director General declared under the close-down provisions the days when the Patent, Trade Marks and Designs Offices and their sub-offices would not be open for business. This covers the period from 6 November 2009 to 1 January 2011.

Authorised Australia Post outlets, "IP Lodgement Points", in Hobart, Perth, Adelaide, Sydney, Darwin and Melbourne are sub-offices for the purposes of the Patents, Trade Marks and Designs legislation. These Australia Post outlets may be physically open to the general public for other services provided by Australia Post during the close-down period. However, as declared by the Director General, they are taken not to be open for business for the purposes of lodging IP documents and/or making IP-related payments from Friday 25 December 2009 until Friday 1 January 2010.

If the last day for doing an act is a day when a sub-office is not open for business, section 222A(1) of the Patents Act, section 223A(1) of the Trade Marks Act and section 136A(1) of the Designs Act allow for the act to be done on the next day when the sub-office is open for business. This means that customers will not be disadvantaged by the closure of the sub-offices for the period between Christmas Day and the New Year's Day holiday.

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Fax: +61 2 6283 7999
E-mail: assist@ipaustrialia.gov.au
Web: www.ipaustrialia.gov.au

Official Notice**Changes in PCT Fees**

In accordance with the directives adopted by the PCT Assembly at its thirty-second session, new equivalent amounts in AUD will be established with effect from **1 January 2010**, As follows:

International PCT Fees

1.	Transmittal Fee	\$150
2.	International Search Fee	\$1600
3.	International Filing Fee	\$1489
	If the application contains 30 Pages or less including the Request form	
	PCT-EASY component of PCT-SAFE reduction	\$112
	Electronic filings filed in PDF format	\$224
	Electronic filings filed in XML format	\$336
	PLUS	
	For Each page in the application in excess of 30 Sheets	\$17
4.	Cost of Preparing Certified Copy of Basic Document	\$100 per document
5.	Copies of Specifications cited in the International Search Report	\$50 per copy

International Preliminary Examination Fees

1.	International Preliminary Examination Fee	
	If the International Search was performed by IP Australia	\$550
	If the International search was not conducted by IP Australia	\$780
2.	International Preliminary Handling Fee	\$224

Total If Search performed by IP Australia
\$774

Total if Search not performed by IP Australia
\$1004

International Type Search Fee

Fee for IP Australia to perform an	\$1400
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Article 15(5) International-type Search

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Web: www.ipaustralia.gov.au

Proceedings under the Patents Act 1990

Amendments, Section 104

Applications for Amendment

A person interested in opposing the allowance of the amendment may, at any time within three months from the date of this journal, give notice at the Patent Office using the approved form accompanied by the prescribed fee.

720234 Light emitting device and display device **Nichia Corp.** The nature of the proposed amendment is as shown in the statement(s) filed 14 Oct 2009. . Address for service in Australia - PIZZEYS GPO Box 1374 BRISBANE QLD 4001

740712 Chloride assisted hydrometallurgical extraction of nickel and cobalt from sulphide or laterite ores **Cominco Engineering Services Ltd.** The nature of the proposed amendment is as shown in the statement(s) filed 10 Aug 2007 and 16 Jul 2009. . Address for service in Australia - SPRUSON & FERGUSON GPO Box 3898 SYDNEY NSW 2001

765857 Digital video logging system **Nice Systems Ltd.** The nature of the proposed amendment is as shown in the statement(s) filed 3 Nov 2009. . Address for service in Australia - Griffith Hack GPO Box 3125 BRISBANE QLD 4001

779623 Hydrophilic/lipophilic polymeric matrix dosage formulation **Jagotec AG** The nature of the proposed amendment is as shown in the statement(s) filed 23 Oct 2009. . Address for service in Australia - Davies Collison Cave Level 15 1 Nicholson Street MELBOURNE VIC 3000

Amendments Made

747041 **Strakan International Ltd.** The nature of the amendment is as was notified in the Official Journal dated 21 May 2009

770392 **Coastside Bio Resources** The nature of the amendment is as was notified in the Official Journal dated 9 Jul 2009

Letters Patent Sealed

Standard Patents

781207

Assignments Registered

606007 Raytheon Company The patent has been assigned to **TelASIC Communications, Inc.**

618434 Raytheon Company The patent has been assigned to **TelASIC Communications, Inc.**

667093 Apollo Environmental Systems Corp.; Apollo Environmental Systems Ltd. The patent has been assigned to **Apollo Environmental Systems Ltd.**

673750 Becton Dickinson & Company The patent has been assigned to **3M Innovative Properties Company**

676881 Commonwealth Scientific and Industrial Research Organisation; The New South Wales Electricity Transmission Authority trading as TransGrid The patent has been assigned to **HydroDec Development Corporation Pty Ltd**

678936 Apollo Environmental Systems Corp.; Apollo Environmental Systems Ltd. The patent has been assigned to **Apollo Environmental Systems Ltd.**

683802 Raytheon Company The patent has been assigned to **TelASIC Communications, Inc.**

707083 Pharmexa A/S The patent has been assigned to **BN ImmunoTherapeutics, Inc.**

722021 Becton Dickinson & Company The patent has been assigned to **3M Innovative Properties Company**

723776 Spinal Concepts, Inc. The patent has been assigned to **Zimmer Spine, Inc.**

731045 Clemson University The patent has been assigned to **Dechra Limited**

732474 Spinal Concepts, Inc. The patent has been assigned to **Zimmer Spine, Inc.**

732703 Introgen Therapeutics, Inc. The patent has been assigned to **Crucell Holland B.V.**

743254 Spinal Concepts, Inc. The patent has been assigned to **Zimmer Spine, Inc.**

745978 Spinal Concepts, Inc. The patent has been assigned to **Zimmer Spine, Inc.**

751709 Pharmexa A/S The patent has been assigned to **BN ImmunoTherapeutics, Inc.**

765774 Spinal Concepts, Inc. The patent has been assigned to **Zimmer Spine, Inc.**

775579 Introgen Therapeutics, Inc. The patent has been assigned to **Crucell Holland B.V.**

782155 King's College London; Eisai Corporation of North America The patent has been assigned to **King's College London; Eisai Inc.**

Mortgages Registered

(The name in the parentheses is that of the mortgagee)

741012 **GM Global Technology Operations, Inc.** (United States Department of the Treasury, The and (UAW Retiree Medical Benefits Trust)

725991 **GM Global Technology Operations, Inc.** (United States Department of the Treasury, The and (UAW Retiree Medical Benefits Trust)

739638 **GM Global Technology Operations, Inc.** (United States Department of the Treasury, The and (UAW Retiree Medical Benefits Trust)

680165 **GM Global Technology Operations, Inc.** (United States Department of the Treasury, The and (UAW Retiree Medical Benefits Trust)

665317 **GM Global Technology Operations, Inc.** (United States Department of the Treasury, The and (UAW Retiree Medical Benefits Trust)

644982 **GM Global Technology Operations, Inc.** (United States Department of the Treasury, The and (UAW Retiree Medical Benefits Trust)

Extensions of Term of Standard Patents, Section 70

Application filed

The following application(s) for Extension of Term under Section 70 have been filed.

656798 **Ube Industries Ltd. and Daiichi Sankyo Co., Ltd.**

EFFIENT prasugrel

Corrigenda

In Vol 23, No 28, Page(s) 233 under the heading **Patents Ceased or Expired** Please delete all reference to patent number 772528

Specifications Republished

The following specifications contained errors when advertised OPI or Accepted. They have been reissued on the AU-A or AU-B CD-ROM of this Journal date.

741580 **Saban Ventures Pty Ltd.**